

DORSAL HAND VEIN PATTERN AUTHENTICATION BY HOUGH PEAKS

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Abstract

The quest of providing more secure identification system has led to rise in developing biometric systems. Biometrics such as face, fingerprint and iris have been developed extensively for human identification purpose and also to provide authentic input to many security systems in the past few decades. Dorsal hand vein pattern is an emerging biometric which is unique to every individual. In this paper Linear Hough transform is used to extract the features of query and data base images. K-Nearest neighbor Search is used to obtain best match between query image and database. The extraction of the vein patterns was obtained by morphological techniques. Noise reduction filters are used to enhance the vein patterns.

Keywords:- Dorsal hand vein patterns, K-Nearest neighbor search, Morphological Techniques, Enhancement , Noise Reduction and Hough transform.

1. INTRODUCTION

Personal identification systems are gaining lot of demand due to increased threats and attacks from the terrorists. These can be prevented by tightening the security at important places. The traditional methods make use of smart cards or personal identification numbers etc to identify a person. However these methods have limited security and are unreliable. Over the past years various biometric systems have been developed to overcome these disadvantages[5]. Biometrics is the science of identifying a person using its physiological or behavioral features. These features range from physical traits like fingerprints, faces, iris etc to represent the signature or personal behavior of a human being. Compared to traditional methods biometric features are much harder for intruders to copy or forge and it has one more advantage that it is very rare for them to be lost. Hence for identification systems making use of biometric features offer a much more secure and reliable performance. Each of these biometric features has its strengths and weaknesses. Vein pattern is the network of blood vessels beneath a person's skin. This vein pattern can be used to authenticate the identity of an individual.

Anatomically aside from surgical intervention the shape of the vascular patterns in the back of the hand is distinct from each other and it remains stable over along period[2]. In addition as the blood vessels are hidden underneath the skin and are invisible to the human eye, vein patterns are much harder for intruders to copy as compared to other biometric features[9], vein image which can be taken only at live body, Due to non-contact, it is hygienic and non-duplicating and has no negative image associated with crime. All these special properties of hand

vein patterns make it a potentially good biometric to offer more secure and reliable features for personal verification[1]. Biometrics is a science for uniquely recognizing humans based upon one or more intrinsic physical or behavioral traits. Biometrics is used as a form of identity access management, access control and identifying individuals in groups under surveillance. Biometrics can be divided in two main classes that are physical and behavioral. Physical are related to the shape of the body like fingerprint, face recognition, DNA, palm print, hand geometry and iris of the eye. Behavioral are related to behavior of a person like voice, gait etc. The biometrics should have the certain characteristics like each person should have the said characteristic, it should distinguish individual from another, should be resistive to ageing, easy to acquire, should be accurate, robust, and should have acceptability. A biometric which possesses more number of characteristics is treated as a good bio-metric[6].

A biometric system can operate in two modes, one is Verification and Identification. Verification is the one to one comparison of a captured biometric with a stored template to verify that the individual is who he claims to be. Can be done in conjunction with a smart card, user ID number etc. Identification is a one to many comparisons of the captured biometric against a biometric data base in attempt to identify an unknown individual. The identification only succeeds in identifying the individual if the comparison of the biometric sample to a template in the data base falls within a previously set threshold. Before the Verification or Identification an individual should enroll his information in the system to store it as template for subsequent uses. During the identification process, the Query image is matched with the set of templates available as data base

with the help of some image processing algorithms. During this signature recognition, lot of comparisons need to be with every template in the database. Hough transform is used to identify the feature patterns in database as well as in the query image. KNN search is used to search for similarities between features of the query image and database in a speedy and effective manner. The memory requirements are reduced in KNN search which is very much needed in case of large database. In this work Hough transform in combination with KNN search is used for identifying the query hand vein pattern image from the data base of hand vein pattern images.

2. MATERIALS

Veins are hidden underneath the skin, and are invisible to the naked eye and other visual inspection systems. However human superficial veins have higher temperature than the surrounding tissue. Based on this fact, the vein pattern in the back of the hand can be captured using a thermal camera. In this work NEC Thermal tracer is utilized to acquire thermal images of the back of the hand. The images collected from different people in a normal office environment between 20-25°C. One image of hand vein dorsal is shown in Fig. 1.



Fig.1: Thermal dorsal hand vein image

3. METHOD

The steps involved in the proposed work are given in Fig.2.

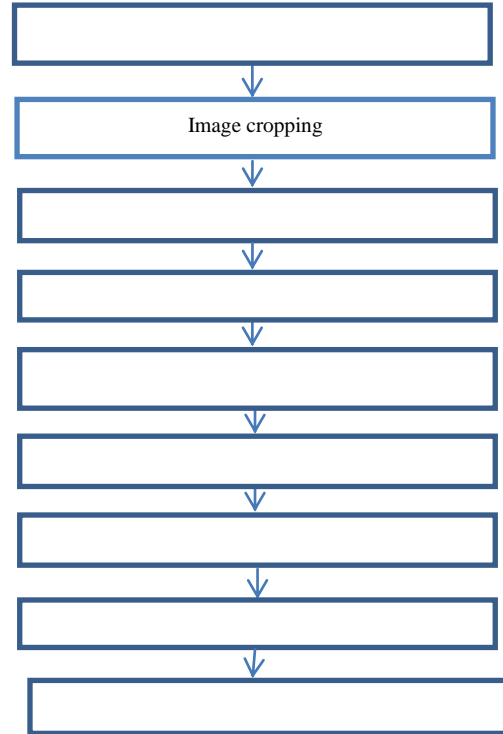


Fig.2: Steps Involved in the proposed technique

The input hand Vein pattern thermal images are cropped to extract the region of interest. The cropped images are grouped as training set. The training set is enhanced using adaptive histogram equalization. On equalized training set noise filtering is carried out to minimize the noise. Thinning is then performed to reduce the vein patterns into a pixel wide. Hough transform is applied on these thinned images to extract the Hough peaks and the corresponding radius(r) and angle (Θ) values as feature vectors. For the Query image to be verified from the database is cropped, adaptive histogram equalized, filtered and thinned. The Hough peaks and the associated radius(r) and angle (Θ) are extracted for that. And matching is performed by using KNN search.

3.1 Preprocessing

In preprocessing the hand vein pattern images are cropped to the required region of interest where veins look prominent. The portions of fingers are removed and to adjust the intensities uniformly adaptive histogram equalization has been carried out [4,7]. The collected data base is converted into training set. On this training set median filtering is applied to remove the noise. Thinning is performed as the final preprocessing step to extract one pixel wide vein patterns before the feature extraction.

3.2 Hough Transform

The Hough transform is a feature extraction technique used in image analysis, computer vision, and digital image processing to find imperfect instances of objects within a certain class of

shapes circles or ellipses by a voting procedure. This voting procedure is carried out in a parameter space, from which object candidates are obtained as local maxima in a so-called accumulator space that is explicitly constructed by the algorithm for computing the Hough transform [3]. In many cases an edge detector can be used as a pre-processing stage to obtain image points or image pixels that are on the desired curve in the image space. Due to imperfections in either the image data or the edge detector, however, there may be missing points or pixels on the desired curves as well as spatial deviations between the ideal line/circle/ellipse and the noisy edge points as they are obtained from the edge detector. Due to this, it is non-trivial to group the extracted edge features to an appropriate set of lines, circles or ellipses. The Hough transform is used to group the edge points into object candidates by performing an explicit voting procedure over a set of parameterized image objects. Hough transform is a linear transform for detecting straight lines. In the image space, the straight line can be described as $y = mx + b$ and can be plotted for each pair of image points (x, y) . In the Hough transform the characteristics of the straight line is considered not as image points, instead, in terms of its polar coordinate pair, denoted r and θ (theta). The parameter r represents the distance between the line and the origin, while θ is the angle of the vector from the origin to this closest point. Using this parameterization, the equation of the line can be written as

$$y = \left(-\frac{\cos \theta}{\sin \theta} \right) x + \left(\frac{r}{\sin \theta} \right) \quad \dots (1)$$

Which can be rearranged to

$$r = x \cos \theta + y \sin \theta \quad \dots (2)$$

It is therefore possible to associate with each line of the image a pair (r, θ) which is unique if $\theta \in [0, \pi)$ and $r \in \mathbf{R}$, or if $\theta \in [0, 2\pi)$ and $r \geq 0$.

The (r, θ) plane is sometimes referred to as Hough space for the set of straight lines in two dimensions. This corresponds to a sinusoidal curve in the (r, θ) plane, which is unique to that point. If the curves corresponding to two points are superimposed, the location (in the Hough space) where they cross corresponds to a line (in the original image space) that passes through both points. The Hough transform algorithm uses an array, called an accumulator to detect the existence of a line. Two unknown parameters (r, θ) is the dimension of the accumulator array and it would correspond to quantized values for (r, θ) . For each pixel and its neighborhood, the Hough transform algorithm determines if there is enough evidence of an edge at that pixel. If so, it will calculate the parameters of that line, and then look for the accumulator's bin that the parameters fall into, and increase the value of that bin. By finding the bins with the highest values, typically by looking for local maxima in the

accumulator space, the most likely lines can be extracted, and their approximate geometric definitions read off. The simplest way of finding these peaks is by applying some form of threshold determining which lines are found as well as how many. Since the lines returned do not contain any length information, it is often necessary to find which parts of the image match up with which lines. The result of the Hough transform is stored in a matrix that often is called an accumulator. One dimension of this matrix are the angles θ and the other dimension are the distances r , and each element has a value telling how many points/pixels are positioned on the line with parameters (r, θ) . So the element with the highest value tells what line that is most represented in the input image.

Hough peaks Identifies peaks in Hough transform. By performing the Hough transform the radius and theta values and the Hough peaks are calculated. The r and theta values of these Hough peaks are extracted into two matrices and the pattern recognition is done on these values. The Hough peaks are shown graphically in Fig.3.

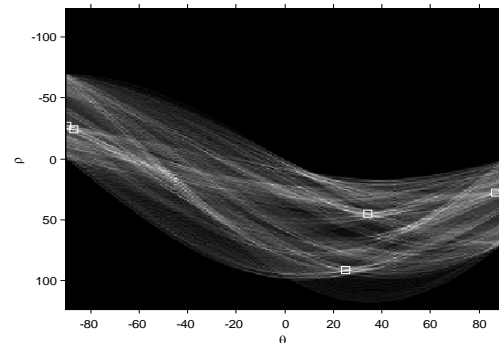


Fig.3. The Hough peaks which are represented by the small squares.

3.3 Recognition Procedure: KNN Search

KNN search is the nearest neighbor search in spaces with small intrinsic dimension. k-nearest neighbor search identifies the top k nearest neighbors to the query. This technique is commonly used in predictive analytics to estimate or classify a point based on the consensus of its neighbors. k-nearest neighbor graphs are graphs in which every point is connected to its k nearest neighbors. In those cases, we can use an algorithm which doesn't guarantee to return the actual nearest neighbor in every case, in return for improved speed or memory savings. Often such an algorithm will find the nearest neighbor in a majority of cases, but this depends strongly on the dataset being queried. Algorithms that support the approximate nearest neighbor search include locality-sensitive hashing, best bin first and balanced box-decomposition tree based search.[8] e-approximate nearest neighbor search is becoming an increasingly popular tool for fighting the curse of dimensionality. We then calculate the Euclidean distances $d1$ and $d2$ for both r and θ of test image and each and every image in the database.

4. RESULTS AND DISCUSSIONS

The hand pattern images are given in Fig.4, the cropped image database is given in Fig.5, gray scaled and equalized database is given in Fig.6, thresholded database is given in Fig.7, filtered database is given in Fig.8 and thinned database is given in Fig.9. False acceptance rate and false rejection rate are two parameters considered as evaluation parameters.

4.1 False Rejection Rate

False rejection rate refers to the total number of authorized persons not getting access to the system over the total number of people attempting to get the system. The false reject rate (FRR) is a measure of the probability that a biometric system will incorrectly reject an input as a negative match. We have found that out of the 80 images which are in the database, 3 images are falsely rejected. Also these 3 images are the duplicates of the ones present in the database.

Therefore,

$$FRR = (3 / 80) * 100 = 3.75 \% \text{---(3)}$$

4.2 False Acceptance Rate

False acceptance rate (FAR) is a measure of biometric accuracy, it refers to the total number of unauthorized persons getting access to the system over the total number of people attempting to the system. It represents the probability that a given biometric system will accept an incorrect input as a positive match. We have found that out of the 20 images which are not in the database, 4 images are falsely accepted.

Therefore,

$$FAR = (4 / 20) * 100 = 20 \% \text{---(4)}$$

Which means this proposed methodology is successful with an accuracy of 96.25%. Table 1 gives the Euclidean distances of images and training set, and Table 2 gives the false acceptance rate and false rejection rates.

Table 1: Euclidean distances of images and training set

S. No.	Image No.	d1	d2	In Data Base	Result: Image found /not found
1.	Img11_1	55	21	Yes	Found
2.	Img12	169	152	No	Not found
3.	Img13	144	34	No	Not Found
4.	Img14_1	100	97	Yes	Not Found
5.	Img15_1	86	37	Yes	Found
6.	Img16_1	30	53	Yes	Found
7.	Img17_1	14	40	Yes	Found
8.	Img18_1	64	50	Yes	Found
9.	Img19_1	25	26	Yes	Found

10	Img110_1	50	42	Yes	Found
11	Img111_1	50	28	Yes	Found
12	Img112_1	41	53	Yes	Found
13	Img113_1	31	60	Yes	Found
14	Img114	44	139	No	Not Found
15	Img115_1	60	41	Yes	Found
16	Img116_1	32	48	Yes	Found
17	Img117_1	34	27	Yes	Found
18	Img118_1	39	28	Yes	Found
19	Img119_1	116	68	Yes	Not Found
20	Img120_1	64	60	Yes	Found
21	Img121_1	56	77	Yes	Found
22	Img122_1	26	32	Yes	Found
23	Img123_1	58	60	Yes	Found
24	Img124_1	13	29	Yes	Found
25	Img125_1	29	68	Yes	Found
26	Img126_1	36	34	Yes	Found
27	Img127	39	17	No	Found
28	Img128	144	28	No	Not Found
29	Img129_1	100	24	Yes	Not Found
30	Img130_1	26	24	Yes	Found
31	Img131_1	26	32	Yes	Found
32	Img132_1	27	41	Yes	Found
33	Img133_1	54	35	Yes	Found
34	Img134	167	53	No	Not Found
35	Img135_1	59	23	Yes	Found
36	Img136	32	139	No	Not Found
37	Img137_1	11	17	Yes	Found
38	Img138	146	49	No	Not Found
39	Img139_1	25	73	Yes	Found
40	Img140	159	34	No	Not Found
41	Img141	31	135	No	Not Found
42	Img142_1	17	49	Yes	Found
43	Img143_1	58	37	Yes	Found
44	Img144	20	143	No	Not Found
45	Img145_1	49	77	Yes	Found
46	Img146_1	58	42	Yes	Found
47	Img147	152	42	No	Not Found
48	Img148_1	23	70	Yes	Found
49	Img149	30	127	No	Not Found
50	Img150	71	72	No	Found
51	Img151_1	30	31	Yes	Found
52	Img152_1	59	26	Yes	Found
53	Img153_1	22	50	Yes	Found
54	Img154	122	20	No	Not Found
55	Img155	134	44	No	Not Found
56	Img156_1	49	48	Yes	Found
57	Img157	31	18	No	Found
58	Img158	129	31	No	Not Found
59	Img159_1	47	35	Yes	Found
60	Img160_1	40	46	Yes	Found
61	Img191_1	24	56	Yes	Found
62	Img192	31	36	No	Found
63	Img193_1	58	58	Yes	Found

64	Img194_1	34	34	Yes	Found
65	Img195	136	43	No	Not Found
66	Img196_1	71	39	Yes	Found
67	Img197_1	75	34	Yes	Found
68	Img198_1	23	35	Yes	Found
69	Img199_1	69	61	Yes	Found
70	Img100_1	68	69	Yes	Found
71	Img11	0	0	Yes	Found
72	Img14	0	0	Yes	Found
73	Img15	0	0	Yes	Found
74	Img16	0	0	Yes	Found
75	Img17	0	0	Yes	Found
76	Img18	0	0	Yes	Found
77	Img19	0	0	Yes	Found
78	Img110	0	0	Yes	Found
79	Img111	0	0	Yes	Found
80	Img112	0	0	Yes	Found
81	Img113	0	0	Yes	Found
82	Img115	0	0	Yes	Found
83	Img116	0	0	Yes	Found
84	Img117	0	0	Yes	Found
85	Img118	0	0	Yes	Found
86	Img119	0	0	Yes	Found

87	Img120	0	0	Yes	Found
88	Img121	0	0	Yes	Found
89	Img122	0	0	Yes	Found
90	Img123	0	0	Yes	Found
91	Img124	0	0	Yes	Found
92	Img125	0	0	Yes	Found
93	Img126	0	0	Yes	Found
94	Img129	0	0	Yes	Found
95	Img130	0	0	Yes	Found
96	Img131	0	0	Yes	Found
97	Img132	0	0	Yes	Found
98	Img133	0	0	Yes	Found
99	Img135	0	0	Yes	Found
100	Img137	0	0	Yes	Found

Table 2: False acceptance rate and false rejection rate

Acceptance Rate	100%
False Acceptance Rate	20%
False Rejection Rate	3.75%



Fig.4 Image database



Fig.5 Cropped image database



Fig.6 Gray-scale and adaptive histogram equalized image database

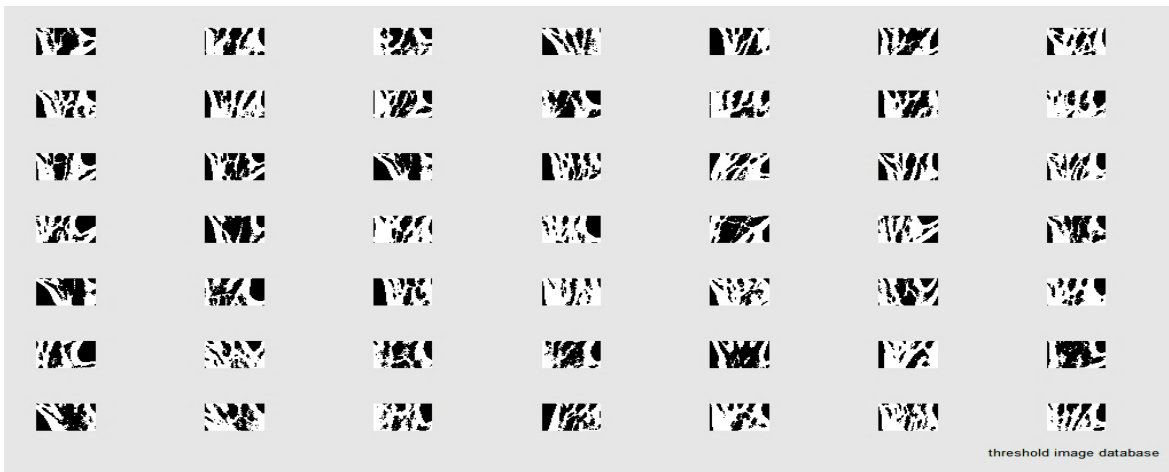


Fig.7 Threshold image database

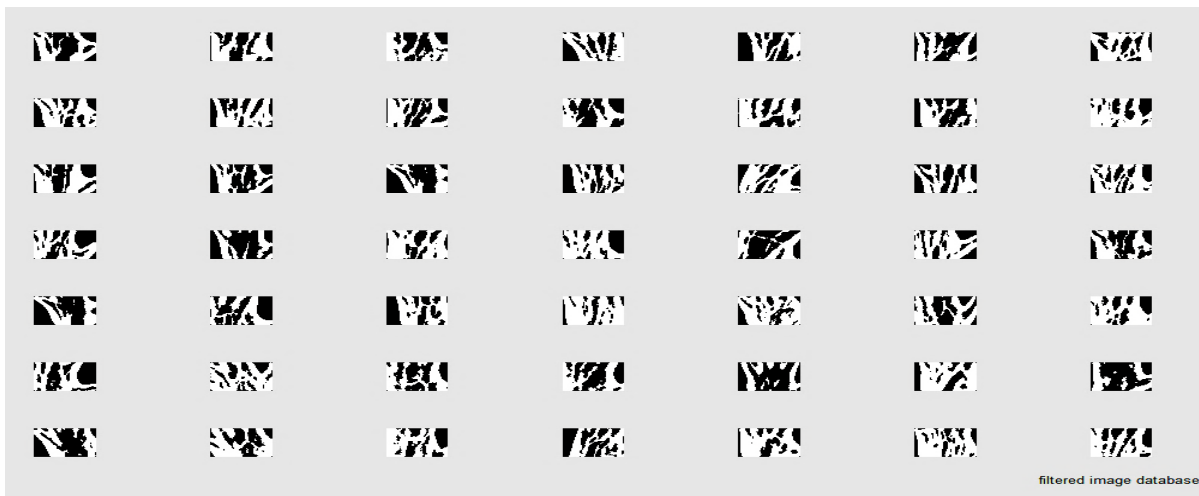


Fig.8 Filtered image database

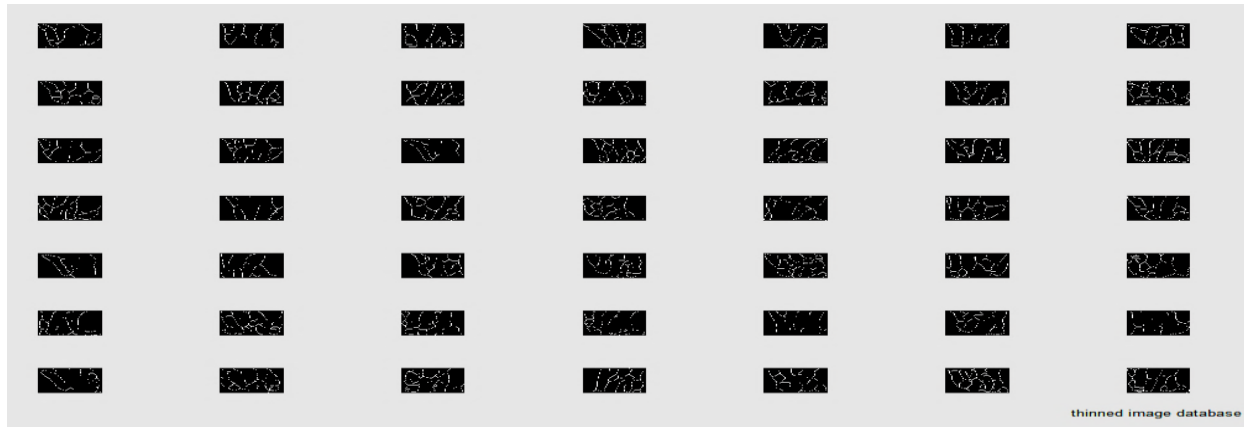


Fig.9 Thinned image database

5. CONCLUSIONS

The system was tested over a dataset consisting of 70 persons of different age and gender for each 2 left hand images. In this proposed work, hand vein images were pre-processed. In the pre-processing the Cropping of the images has highlighted the vein patterns. The noise filtering and thinning of the training set has removed the undesirable noise components in the database as well as query image. Next Hough transform was applied on the database to extract the features. The resulting features namely, r and θ of the Hough peaks were stored in the database, which became the training set. The same procedure was applied for the query image to check for the authentication, based on the degree of similarities by using the KNN searching algorithm. We calculated the Euclidean distance between each r and θ of image database and the test image. Then we found out the minimum of the value the above distances d_1 and d_2 . Then we fixed a threshold value for these distances and authenticate the person. By following this procedure we have come up with 96.25% accuracy with a False Acceptance rate of 20% and False rejection rate of 3.75%

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